Cellular/Molecular

Stress Produces Aversion and Potentiates Cocaine Reward by Releasing Endogenous Dynorphins in the Ventral Striatum to Locally Stimulate Serotonin Reuptake

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Activation of the dynorphin/ κ -opioid receptor (KOR) system by repeated stress exposure or agonist treatment produces place aversion, social avoidance, and reinstatement of extinguished cocaine place preference behaviors by stimulation of p38 α MAPK, which subsequently causes the translocation of the serotonin transporter (SERT, SLC6A4) to the synaptic terminals of serotonergic neurons. In the present study we extend those findings by showing that stress-induced potentiation of cocaine conditioned place preference occurred by a similar mechanism. In addition, SERT knock-out mice did not show KOR-mediated aversion, and selective reexpression of SERT by lentiviral injection into the dorsal raphe restored the prodepressive effects of KOR activation. Kinetic analysis of several neurotransporters demonstrated that repeated swim stress exposure selectively increased the $V_{\rm max}$ but not $K_{\rm m}$ of SERT without affecting dopamine transport or the high-capacity, low-affinity transporters. Although the serotonergic neurons in the dorsal raphe project throughout the forebrain, a significant stress-induced increase in cell-surface SERT expression was only evident in the ventral striatum, and not in the dorsal striatum, hippocampus, prefrontal cortex, amygdala, or dorsal raphe. Stereotaxic microinjections of the long-lasting KOR antagonist norbinaltorphimine demonstrated that local KOR activation in the nucleus accumbens, but not dorsal raphe, mediated this stress-induced increase in ventral striatal surface SERT expression. Together, these results support the hypothesis that stress-induced activation of the dynorphin/KOR system produces a transient increase in serotonin transport locally in the ventral striatum that may underlie some of the adverse consequences of stress exposure, including the potentiation of the rewarding effects of cocaine.

Introduction

Although acute stress exposure can produce proadaptive responses, prolonged stress exposure can evoke maladaptive responses including increased risk of mood disorders and drug addiction (Koob, 2008; Krishnan and Nestler, 2008). Prior studies have identified the endogenous dynorphin/ κ -opioid receptor (KOR) system as a key regulator of this stress response in animal models of depression-like and addiction-like behaviors (Bruchas

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DOI:10.1523/JNEUROSCI.3220-12.2012 Copyright © 2012 the authors 0270-6474/12/3217582-15\$15.00/0 et al., 2010; Knoll and Carlezon, 2010). However, the neuronal sites of action and signaling mechanisms responsible for these behaviors are not yet understood.

Sustained KOR activation by stress-induced release of endogenous dynorphins leads to G-protein receptor kinase 3 (GRK3)dependent, p38α mitogen-activated protein kinase (MAPK) activation, which has been implicated previously in mediating both aversive effects of stress and stress-induced reinstatement of drug seeking (Bruchas et al., 2007a, 2011; Land et al., 2009). In addition, a possible role for KOR-mediated regulation of serotonin transporter (SERT, SLC6A4) by p38α MAPK has been suggested (Bruchas et al., 2011), although the underlying kinetic mechanisms, brain region(s) involved, and transporter selectivity of this effect remains unknown. Altered SERT and dopamine transporter (DAT; SLC6A3) functions have been linked to stress, prodepressive, and proaddictive behaviors (Kuhar, 1992; Lesch et al., 1996; Heinz et al., 1998; Malison et al., 1998; Laasonen-Balk et al., 1999; Sora et al., 2001; Lira et al., 2003; Wellman et al., 2007). Interestingly, previous reports demonstrated a role for p38 MAPK in the modulation of SERT and DAT function in vitro (Zhu et al., 2004, 2005; Samuvel et al., 2005), further supporting the suggested role for this MAPK in monoamine transport regulation. Monoamines can also be sequestered by low-affinity, high-capacity transporters such as the organic cation transporters (Octs) and the plasmalemmal monoamine transporters (Daws, 2009; Hagan et al., 2011). Stress exposure has been shown to decrease the function of Oct3 at micromolar concentrations of serotonin (5-HT) (Baganz et al., 2010), although a role for KOR was not assessed.

In the present study, we used rotating disk electrode voltammetry (RDEV) to measure neurotransmitter uptake kinetics in synaptosomal preparations (Earles and Schenk, 1998; Schenk et al., 2005; Hagan et al., 2010). To determine whether stress exposure can regulate these transporters, we measured uptake of 5-HTby SERT, dopamine (DA) by DAT, and 5-HT and DA by lowaffinity, high-capacity transporters following acute or repeated stress exposure, direct KOR activation, or during nicotine withdrawal. To determine whether stress exposure can regulate the surface expression of SERT, we used a biotinylation approach to label cell surface proteins. In this study, we found that rather than having a global effect on serotonergic tone, repeated stress exposure selectively regulated SERT function via p38 MAPK in the synaptic terminals of dorsal raphe (DR) neurons projecting to the ventral striatum (VS). We posit that this stress-induced alteration in ventral striatum surface SERT expression results in a transient and localized hyposerotonergic state in the nucleus accumbens, an effect that may underlie prodepressive and proaddictive behaviors.

Materials and Methods

Animals and housing

Male C57BL/6 mice (Charles River Laboratories) or transgenic mice on a C57BL/6 genetic background weighing 18–30 g were used in these experiments. Homozygous GRK3 knock-out (-/-) (Peppel et al., 1997), SERT knock-out (-/-) (Jackson Laboratories), KOR (-/-) (Hough et al., 2000), and respective wild-type (WT) (+/+) littermate control mice were prepared by heterozygous crosses and genotyped as described previously (Xu et al., 2004; Hagan et al., 2010). Mice were group housed, two to four per cage, and the housing rooms were maintained on a 12 h light/dark cycle (lights on at 07:00) with food pellets and water available ad libitum. Animal procedures were approved by the University of Washington Institutional Animal Care and Use Committee.

Generation of p38 α conditional knock-out (p38 α CKO ePet) transgenic mice

Breeding. A floxed p38α MAPK mouse line (Nishida et al., 2004) with loxP sites flanking the third exon of p38α was obtained from the RIKEN Bioresearch Center (Tsukuba, Japan). $p38\alpha^{lox/+}$ heterozygotes were crossed to mice broadly expressing Cre recombinase under the Mox2 promoter (Tallquist and Soriano, 2000) to generate $p38\alpha^{\Delta/+}$; $Mox2^{Cre/+}$ heterozygotes bearing a null p38α allele no longer susceptible to Cre recombination, thereby guarding against ectopic germ-line excision of the floxed p38α allele during later generations of breeding. The null p38α allele was segregated away from the Mox2-Cre allele by backcrossing with C57BL/6 wild-type mice.

The p38 $\alpha^{\Delta/+}$ mice globally heterozygous for p38 α were then crossed to the ePet1-Cre line (Scott et al., 2005) to yield p38 $\alpha^{\Delta/+}$ mice, also heterozygous for ePet1-Cre. ePet1-Cre is a transgene driven by an enhancer element for a transcription factor (Pet1) that is uniquely expressed in serotonergic neurons (Scott et al., 2005). These mice were then mated with p38 $\alpha^{\log N/\log N}$ mice to give p38 $\alpha^{\Delta/\log N}$; ePet1^{Cre/+} conditional knock-out (CKO) progeny (p38 α CKOePet) as well as p38 $\alpha^{\Delta/\log N}$ (p38 $\alpha^{\Delta/\log N}$) and p38 $\alpha^{\log N/+}$ (p38 $\alpha^{N/+}$) mice, which can be regarded as littermate controls carrying one or two functional p38 α alleles, respectively. Conditional knock-out mice showed no apparent differences in growth, lifespan, or overt health from either their p38 $\alpha^{\Delta/\log N}$ (heterozygote) or functionally wild-type littermates, and were produced in expected Mendelian frequency (Bruchas et al., 2011).

Genotyping. Mice weaned at 28 d of age were briefly anesthetized with isoflurane (Hospira), and a 0.5 cm tail biopsy was obtained. Tail tissue was digested by proteinase K overnight and genomic DNA purified using Qiagen DNEasy columns according to manufacturer's instructions. Tail DNA was then used as a template for PCR using Promega GoTaq Flexi polymerase with one of two buffers (5× Green GoTaq Flexi, Promega catalog #M8911, or TaqDNA polymerase 10× PCR reaction buffer, Promega catalog #M1902) used depending on the reaction. PCR products were then resolved on a 1.5% agarose electrophoresis gel and photographed under UV illumination for analysis.

PCR screening was performed using the following primers. A3 (5'-ATGAGATGCAGTACCCTTGGAGACCAGAAG-3') and A4 (5'-AG CCAGGGCTATACAGAGAAAAACCCTGTG-3') were used for the floxed and wild-type p38 α alleles, giving bands of 230 and 180 bp, respectively. Primers A1 (5'-CCACAGAAGAGATGGAGCTATATGGATCTC-3') and A4 were used to detect the null p38 α^{Δ} allele as a 420-bp PCR product. The Mox2-Cre and ePet1-Cre transgenes were detected using 5'-AGCG TTCGAACGCACTGATTTCG-3' and 5'-CGCCGTAAATCAATCGAT GAGTTG-3', yielding a 330 bp band.

Drugs and chemicals

Cocaine-HCl, norbinaltorphimine (norBNI)-HCl, and (±)U50,488(2-(3,4-dichlorophenyl)-N-methyl-N-[(1R,2R)-2-pyrrolidin-1-ylcyclohexyl] acetamide) were provided by the National Institute of Drug Abuse Drug Supply Program (Bethesda, MD) and were dissolved in 0.9% saline. (-)-Nicotine hydrogen tartrate salt [(-)-1-methyl-2-(3-pyr-idyl)pyrrolidine (+)bitartrate salt (nicotine)] was purchased from Sigma and was dissolved in 0.9% saline. Citalopram hydrobromide was from Tocris Bioscience and was dissolved in 0.9% saline. KCl, MgSO₄, paraformaldahyde, and Tween-20 were from Fisher Scientific. CaCl₂, KH₂PO₄, NaCl, and NaHCO3 were from JT Baker. Bovine serum albumin (BSA), CHAPS, EDTA, glucose, HEPES, Na-deoxycholate, Ponceau-S reagent, sodium dodecyl sulfate, sucrose, Tris buffer, and Triton X-100 were from Sigma-Aldrich. GBR 12935 (1-(2-(diphenylmethoxy)ethyl)-4-(3-phenylpropyl) piperazine; DAT inhibitor), nisoxetine hydrochloride [norepinephrine transporter (NET) inhibitor], and paroxetine hydrochloride (SERT inhibitor) were from Sigma-Aldrich and were dissolved in physiological buffer as described previously (Hagan et al., 2010). Dopamine hydrochloride and serotonin hydrochloride were from Sigma-Aldrich and were dissolved in pH 7.2 PBS as described previously (Hagan et al., 2010). Glycine and powdered milk were from Bio-Rad. EZ-link Sulfo-NHS-SS-Biotin was from Pierce.

Forced swim stress

Mice were exposed to a modified Porsolt forced swim stress as described previously (Porsolt et al., 1977; McLaughlin et al., 2003a). All swim sessions were performed in 30 \pm 1°C water. After each swim session, mice were removed, towel dried, and returned to their home cage for at least 6 min before further testing. For acute stress exposure (A-FSS), mice were exposed to one 15 min swim 10 min before decapitation and synaptosome generation. For repeated forced swim stress (R-FSS), mice were exposed to one 15 min swim, and 24 h later were exposed to four 6 min swims, each separated by a 6 min break in the home cage, 10 min before decapitation and synaptosome generation or final cocaine-conditioned place preference (CPP) (see below). For repeated stress exposure recovery (R-Recovery), mice were exposed to one 15 min swim, and 24 h later were exposed to four 6 min swims, each separated by a 6 min break in the home cage, and then 24 h later were decapitated and synaptosomes were prepared. For acute stress exposure recovery (A-Recovery), mice were exposed to one 15 min swim, and then 24 h later were decapitated and synaptosomes were prepared.

Cocaine conditioned place preference

Mice were used in a balanced three-compartment place-conditioning apparatus as described previously (Schindler et al., 2010). Noldus Ethovision software (version 3.0; Norldus) was used to analyze movement through each compartment recorded previously using video capture (Canon ZR90) from above. Briefly, on Day 1, mice were tested for initial place preference bias; mice spending >720 s in the middle chamber or spending triple the amount of time in one of the outer chambers over the

other outer chamber were excluded from the study. An unbiased design was used; approximately half the animals received cocaine in their nonpreferred box (n = 99) and half in their preferred box (n = 90), and pretest time spent in the subsequently drug paired box was equivalent to pretest time spent in the subsequently saline paired box (drug paired box mean, 674 \pm 9.6 s, n = 189; saline paired box mean, 701 \pm 10.6 s, n =189; unpaired, two-sample t tests, p > 0.05). On Days 2 and 3, mice were administered saline (10 ml/kg of body weight, s.c.) and confined to their assigned saline-paired compartment for 30 min in the morning, and then 4 h later were administered cocaine (15 mg/kg, s.c.) and confined to their assigned drug-paired compartment for 30 min in the afternoon. On Day 4 of testing, mice were assessed for postconditioning place preference. Some mice were exposed to one 15 min FSS 2 h following completion of cocaine training on Day 3 and then on Day 4 were exposed to four 6 min swims before postconditioning preference testing. On Day 4, some mice were pretreated with either saline (10 ml/kg of body weight, i.p.) or citalopram (15 mg/kg, i.p.) 30 min before administration of either saline (10 ml/kg of body weight, i.p.) or U50,488 (5 mg/kg, i.p.) 60 min before postconditioning preference testing. Cocaine CPP scores were calculated as time spent in the drug-paired compartment before training subtracted from time spent in the drug-paired compartment after conditioning.

Conditioned place aversion

Methods are the same as those used for cocaine CPP except that on Days 2 and 3, mice were administered saline (10 ml/kg of body weight, i.p.) and confined to their assigned saline-paired compartment for 30 min in the morning, and then 4 h later were given U50,488 (2.5 mg/kg, i.p.) and confined to their assigned drug-paired compartment for 30 min in the afternoon. U50,488 conditioned place aversion (CPA) scores were calculated as time spent in the drug-paired compartment before training subtracted from time spent in the drug-paired compartment after training.

Chronic nicotine exposure

Mice were administered saline (four injections of 10 ml/kg of body weight, s.c.; 2 h apart) or nicotine (20 mg/kg/d, s.c.; four injections of 5 mg/kg; 2 h apart) for 6 d. RDEV was carried out on Day 8. The mice showed tolerance to the hypolocomotor effects of nicotine after repeated dosing (data not shown), but specific behavioral signs of nicotine withdrawal were not further documented.

Preparation of brain synaptosomes

Mice were decapitated, whole-brain or specific brain regions were dissected, and synaptosomes prepared as described previously (Hagan et al., 2010). The synaptosomal preparations were resuspended in 5 ml preoxygenated Krebs–Ringer–HEPES (KRH) buffer [containing (in mm) 124 NaCl, 1.8 KCl, 1.3 MgSO₄, 1.24 KH₂PO₄, 2.5 CaCl₂, 26 NaHCO₃, 10 glucose] and maintained blanketed with 95% O₂/5% CO₂ gas in a 50 ml conical tube on ice.

Rotating disk electrode voltammetry

RDEV is an electrochemical technique used to measure neurotransmitter uptake kinetics (Earles and Schenk, 1998; Schenk et al., 2005; Hagan et al., 2010). A voltage potential sufficient to oxidize an electroactive neurotransmitter is applied to the synaptosome suspension. When 5-HT or DA is added, a small proportion of substrate transfers electrons to the electrode surface, producing a temporally resolved detection current proportional to the concentration of extrasynaptosomal 5-HT or DA. RDEV can be used to measure uptake kinetics for DAT, NET, SERT, and low-affinity, high-capacity transporter systems (Burnette et al., 1996; Earles and Schenk, 1998; Schenk et al., 2005; Hagan et al., 2010, 2011).

As described previously (Hagan et al., 2010), a Pine Instruments AFMD03 glassy carbon electrode was used. The electrode was lowered into an electrochemical well and rotated at 3000 rpm for 5-HT uptake studies and 2000 rpm for DA uptake studies. A constant potential of +550 mV was applied for 5-HT uptake studies and +450 mV for DA uptake studies relative to a Ag/AgCl reference electrode. After background subtraction, the initial velocities of 5-HT or DA uptake by synaptosomal preparations were calculated from the linear slope of the initial zero order portion of the plot of [5-HT] versus time or [DA] versus time. Data were normalized to synaptosomal protein concentration us-

ing a bicinchoninic acid colorimetric based assay (Pierce) using BSA as the standard. Uptake rates were expressed as femtomoles of 5-HT or DA per second per milligram protein.

5-HT studies

SERT-specific uptake. For control experiments, synaptosomal preparations were allowed to stabilize for 10 min in the presence of 100 nm nisoxetine and 1 μ m GBR 12935 (selective NET and DAT inhibitors, respectively) (see Fig. 2a, control trace). To measure nonspecific 5-HT uptake, synaptosomal preparations were allowed to stabilize for 10 min in the presence of 100 nm nisoxetine, 1 μ m GBR 12935, and 1 μ m paroxetine (see Fig. 2a, paroxetine trace). Once a stable baseline was reached, 5-HT was added (10, 30, 100, 300, or 1000 nm final concentration), and uptake was recorded for 3 min. The slope of uptake by the paroxetine-treated synaptosomes (paroxetine) was subtracted from the slope of uptake by the non-paroxetine-treated synaptosomes (control) to obtain SERT-specific uptake velocities (see Fig. 2a). For all studies except the SERT kinetic analysis experiments, 100 nm 5-HT (final concentration) was used, as 100 nm was determined previously to be the SERT $K_{\rm m}$ using RDEV and a synaptosomal preparation (Hagan et al., 2010).

Low-affinity uptake. The slope of uptake by the paroxetine-treated synaptosomes (paroxetine) was used to obtain 5-HT uptake by low-affinity, high-capacity transporters (see Fig. 2a) (see the aforementioned experimental conditions).

DA studies

DAT-specific uptake. For control experiments, synaptosomal preparations were allowed to stabilize for 10 min in the presence of 100 nm nisoxetine and 1 $\mu\rm M$ paroxetine. To measure nonspecific DA uptake, synaptosomal preparations were allowed to stabilize for 10 min in the presence of 100 nm nisoxetine, 1 $\mu\rm M$ paroxetine, and 1 $\mu\rm M$ GBR 12935. Once a stable baseline was reached, DA was added (30, 100, 300, 1000, or 3000 nm final concentration), and uptake was recorded for 3 min. The slope of uptake by the GBR 12935-treated synaptosomes (GBR 12935) was subtracted from the slope of uptake by the non-GBR 12935-treated synaptosomes (control) to obtain DAT-specific uptake velocities.

Low-affinity uptake. The slope of uptake by the GBR 12935-treated synaptosomes (GBR 12935) was used to obtain DA uptake by low-affinity, high-capacity transporters.

Purification, biotinylation, and Western blotting of synaptosomes Whole-brain and brain region-specific synaptosomes were prepared as described previously (Hagan et al., 2010), except protease and phosphatase inhibitors (Calbiochem) were added to each buffer. For brain region studies, tissue from two to four mice were pooled for each independent measure (n). Synaptosomes were purified by layering over a sucrose gradient consisting of 2.6 ml each of 0.85, 1.0, and 1.2 M sucrose (top to bottom) and were centrifuged at 85,000 \times g for 2 h at 4°C. Purified synaptosomes were collected and appeared as a creamy colored band at the interface of the 1.0 and 1.2 M sucrose fractions. The purified synaptosomes were washed once with 0.32 M sucrose and then once with KRH buffer. After protein concentration determination, the purified synaptosomes were biotinylated using the EZ-link Sulfo-NHS-SS-Biotin to label cell surface proteins, according to manufacturer's instructions. Excess biotin was quenched with 100 mm glycine, and synaptosomes were lysed in RIPA lysis buffer (10 mm Tris-HCl, pH 7.4, 150 mm NaCl, 1 mm EDTA, 1% Triton X-100, 0.1% sodium dodecyl sulfate, 1% sodium deoxycholate, 1% CHAPS, and protein and phosphatase inhibitors). The lysates were rocked at 4°C for 45 min and then centrifuged at 15,000 rpm for 45 min. Supernatants were incubated with neutravidin beads (Pierce Biotechnology) overnight at 4°C to capture the cell surface biotinylated proteins. Beads were then pelleted, an aliquot of each supernatant (nonbiotinylated proteins) was saved, and the remaining supernatant was aspirated. Beads were then washed with RIPA buffer, and bound proteins were extracted with Laemmli's buffer. To obtain total SERT protein levels, before incubation with neutravidin beads, an aliquot of each biotinylated lysate was extracted in Laemmli's buffer. Surface (biotinylated), intracellular (nonbiotinylated), and total protein samples were then electrophoresed using 10% tris-glycine gels and processed for Western blotting. The blots were first stained with Ponceau-S to visualize total

proteins. Blots were then washed three times with water and 1× Tris buffered saline with Tween-20 (TBS-T), and then blocked for 1 h in block buffer (2.5% milk, 2.5% BSA, $1 \times$ TBS-T). Blots were then probed overnight at room temperature in 2.5% BSA, 2.5% milk, 1× TBS-Tween 20 with the anti-SERT antibody (1:500; Santa Cruz Biotechnology), which recognizes predominantly the 75 kDa SERT species and the anti-calnexin antibody (1:4000; Enzo Life Sciences) to asses the extent of biotinylation of nominally intracellular proteins. Blots were washed three times with 1× TBS-T and then incubated for 1 h at room temperature with 680 donkey anti-goat or 800 donkey anti-rabbit (1:10,000; LI-COR) in Li-Cor blocking buffer and 2.5% BSA, 2.5% milk, and 1× TBS-T (1:1 dilution). Blots were then washed three times with $1 \times TBS-T$ and imaged as described previously (Bruchas et al., 2007a). The band densities for surface and total SERT samples were quantified and normalized by the densities of calnexin in the corresponding total SERT sample as described previously (Samuvel et al., 2005).

Cannulation and intracerebroventricular injections

As described previously (Land et al., 2008), isoflurane-anesthetized mice were mounted on a stereotaxic alignment system. Guide cannulas were placed in the lateral ventricle at 1.0 mm lateral, 0.4 mm posterior from bregma, and 3.0 mm below the skull, and were anchored with screws using dental cement. Mice were allowed to recover from surgery in their home cage for 6–8 d. Injection volumes (1 μ l) of saline or corticotropin releasing factor (CRF; 1 μ g; to induce dynorphin release and subsequent KOR activation) were performed during a 2 min period. Internal cannulas were removed 1 min after injection.

Immunohistochemistry

Cannulated mice were injected as described above, and 30 min later were anesthetized with pentobarbital and intracardially perfused with ice-cold 4% paraformaldehyde in phosphate buffer (PB). Brains were dissected, postfixed with 4% paraformaldehyde for 2 h at 4°C, cryoprotected with a 30% (w/v) sucrose solution at 4°C for 3 d, cut into 40 µm sections, and processed for immunohistochemistry. Sections containing the nucleus accumbens were washed three times in PBS and blocked in PBS containing 0.3% Triton X-100 and 5% normal goat serum. Sections were then incubated with a mixture of mouse anti-glutamic acid decarboxylase 67 (GAD67) (Millipore Bioscience Research Reagents) and rabbit antiphospho-KOR Ab as described previously (McLaughlin et al., 2004; Bruchas et al., 2007a). Sections were then washed six times in PBS and incubated for 2 h at room temperature in Alexa Fluor 488 goat antimouse IgG (1:500; Invitrogen) and Alexa Fluor 555 goat anti-rabbit IgG (1:500; Invitrogen). Sections were washed six times in PBS, rinsed threes times for 10 min in PB, and then mounted on glass slides with Vectashield (Vector Labs) and sealed with nail polish for microscopy.

Viral vector design and production

Lenti-hSERT was developed based on the lentiviral construct expressing the β 2 subunit of the nicotinic acetylcholine receptor under the mouse phosphoglycerol kinase (PGK) promoter, published by the Changeux group (Maskos et al., 2005). The β 2 subunit was replaced with the human SERT sequence using XhoI and XbaI restriction sites. Human and mouse SERT share a 92.5% sequence identity. We used the hSERT sequence in the present study as the first step toward identifying possible sequencespecific mechanisms responsible for p38-mediated SERT translocation of the human transporter. The lenti-hSERT vector is a bicistronic construct expressing human SERT and GFP; eGFP is preceded by an internal ribosomal entry sequence (IRES2) allowing for separate translation of eGFP from the same transcript. Gene expression is under the control of the PGK promoter. The integrated virus was rendered replication incompetent by deletion of the U3 region of the 3' long terminal repeat (Zufferey et al., 1998; Sirven et al., 2001). Sequences have been incorporated to enhance RNA stability, transgene expression, and infection of nondividing cells (Maskos et al., 2005). The viral expression plasmid was inserted into the pUC18 plasmid. As described previously (Land et al., 2009), a fee-for-service facility at the Fred Hutchinson Cancer Research Center produced the viral particles. In brief, viral particles were produced by cotransfection of the vector plasmid with a packaging plasmid and the vesicular stomatitis Indiana virus glycoprotein G protein plasmid, media was collected, and viral particles were isolated by filtration and ultracentrifugation 24-72 h following transfection. Viral titer obtained was 6.2×10^7 TU/ml. Before mouse injection, viral preparations were confirmed to be free of replication competent lentivirus by ELISA against the p24 capsid protein over a course of 4 weeks.

Stereotaxic microinjections (norBNI and lentiviral constructs) Isoflurane-anesthetized mice were mounted on a stereotaxic alignment system (David Kopf Instruments). Mice were injected bilaterally in the ventral striatum (1.00 mm lateral, 0.98 mm anterior, 5 mm depth from bregma) or unilaterally in the dorsal raphe (0.00 mm lateral, 4.65 mm posterior, 3.85 mm depth) with 2.5 µg per side of norBNI or the lentiviral construct (dorsal raphe only), as described previously (Land et al., 2009; Smith et al., 2012). Animals were allowed to recover for at least 5 d after norBNI injection before sacrifice, or 3 weeks after lentiviral injection before CPA testing. NorBNI is a selective KOR antagonist whose effects last >21 d following a single dose (Horan et al., 1992; Bruchas et al., 2007b).

Data analysis

Data are expressed as means \pm SEM. Student's unpaired, two-sample t tests were used to determine statistical differences between pairwise comparisons. Differences between groups were determined by one- and two-way ANOVA with overall $\alpha=0.05~(p<0.05)$. All post hoc pairwise comparisons were Bonferroni corrected. Concentration–response curves were fit using nonlinear regression analysis (Michaelis–Menten equation) to obtain best-fit values for $K_{\rm m}$ and $V_{\rm max}$, and to determine whether best-fit values for each parameter were significantly different after R-FSS exposure. Statistical analyses were conducted using Graph Pad Prism 4.0.

Results

Stress-induced potentiation of cocaine CPP is mediated by GRK3 and p38 α MAPK in serotonergic neurons

Previous studies have shown that KOR activation of p38 MAPK requires receptor phosphorylation by GRK3 followed by β-arrestin recruitment (Bruchas et al., 2006, 2007a), and both GRK3 and p38α MAPK expression in serotonergic neurons are required for KOR-mediated CPA, but the signal transduction pathway required for stress-induced potentiation of cocaine CPP remains unknown. To assess the role of GRK3 in KOR-mediated potentiation of cocaine CPP, GRK3 (-/-) and (+/+) littermates were exposed to one 15 min FSS on Day 3, 2 h after completion of cocaine training, and four 6 min FSS on Day 4, finishing 10 min before the final CPP posttest. GRK3 (+/+) and (-/-) control mice did not show different place preference scores [n = 13-21; unpaired, two-tailed t test between control GRK3 (+/+) and (-/-) mice; $t_{(31)} = 0.344$; p > 0.05], demonstrating that lack of GRK3 did not affect basal preference for cocaine. GRK3 (+/+) mice exposed to repeated FSS showed a significant potentiation of cocaine CPP [n = 13-21; two-way]ANOVA, interaction of genotype by R-FSS, $F_{(1,57)} = 9.638$, p <0.003; followed by Bonferroni's post hoc, GRK3 (+/+) littermate controls vs GRK3 (+/+) R-FSS, p < 0.05] (Fig. 1*a*). In contrast, GRK3 (-/-) mice repeatedly exposed to FSS did not show a change in cocaine CPP [Bonferroni's post hoc, GRK3 (-/-) control vs GRK3 (-/-) R-FSS, p > 0.05] (Fig. 1*a*). These data suggest that GRK3 was required for stress-induced potentiation of cocaine CPP and raise the possibility that this effect may be p38

To directly assess the role for p38 MAPK in R-FSS-induced potentiation of cocaine CPP, we generated CKO mice selectively lacking p38 α in serotonergic cells (for a detailed description of CKO generation, see Materials and Methods). $p38\alpha^{\Delta/lox}$ and $p38\alpha CKO^{ePet}$ control mice did not show different place preference scores (n=14-20; unpaired, two-tailed t test between con-

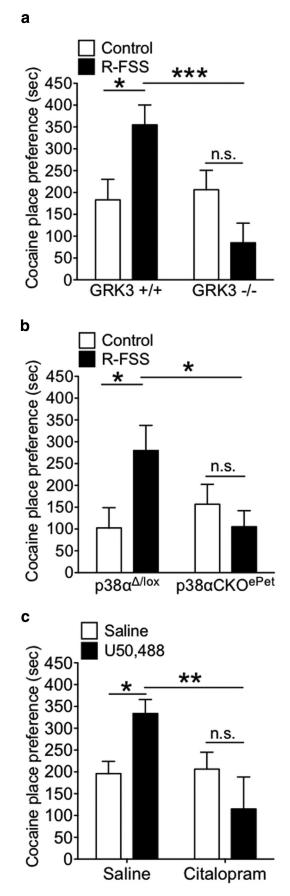


Figure 1. GRK3 and p38 α MAPK in serotonergic neurons mediate stress-induced potentiation of cocaine CPP. a, Place preference test data demonstrating that GRK3 was required for

trol $p38\alpha^{\Delta/lox}$ and $p38\alpha CKO^{ePet}$; $t_{(32)}=0.823;~p>0.05$), demonstrating that lack of $p38\alpha$ in serotonergic neurons did not affect basal place preference for cocaine. $p38\alpha^{\Delta/lox}$ and p38αCKO^{ePet} mice were exposed to one 15 min FSS on Day 3, 2 h after completion of cocaine training, and four 6 min FSS on Day 4, finishing 10 min before the final CPP posttest. $p38\alpha^{\Delta/lox}$ control mice exposed to repeated FSS showed a significant potentiation of cocaine CPP (n = 14-20; two-way ANOVA, interaction of genotype by R-FSS, $F_{(1,58)}=5.729, p<0.02$; followed by Bonferroni's *post hoc*, p38a $^{\Delta/\text{lox}}$ control vs p38a $^{\Delta/\text{lox}}$ R-FSS, p<0.05) (Fig. 1b). Alternatively, $p38\alpha CKO^{ePet}$ mice exposed to repeated FSS did not show a change in cocaine CPP (Bonferroni's post hoc, $p38\alpha CKO^{ePet}$ control vs $p38\alpha CKO^{ePet}$ R-FSS, p > 0.05), demonstrating that p38\alpha MAPK in serotonergic neurons was required for stress-induced potentiation of cocaine CPP. Expression of Cre recombinase driven by the ePet promoter was previously shown to selectively excise p38α MAPK in serotonergic neurons expressing tryptophan hydroxylase (TPH2-ir), but not in non-TPH2-ir neurons in the dorsal raphe nucleus (Bruchas et al., 2011). The $p38\alpha^{\Delta/lox}$ mice are the appropriate controls because they have the floxed allele on the same genetic background (littermates), but express equivalent levels of p38 α immunoreactivity in cells that do not express Cre (Bruchas et al., 2011).

To further investigate the role of the serotonergic system in stress-induced potentiation of cocaine CPP, mice were pretreated with either saline (10 ml/kg of body weight, i.p.) or the selective serotonin reuptake inhibitor (SSRI) citalopram (15 mg/kg, i.p.). Then, 30 min later, mice were administered either saline (10 ml/kg of body weight, i.p.) or U50,488 (5 mg/kg, i.p.) 60 min before postconditioning preference test. WT saline-pretreated and WT citalogram-pretreated control mice did not show different place preference scores (n = 10-20; unpaired, two-tailed t test between saline pretreated and citalogram pretreated; $t_{(29)} =$ 0.22; p > 0.05), demonstrating that pretreatment with citalopram did not affect basal preference for cocaine. Mice pretreated with saline and then injected with U50,488 showed a significant potentiation of cocaine CPP (n = 10-20; two-way ANOVA, interaction of genotype by R-FSS, $F_{(1,57)} = 7.70$, p < 0.008; followed by Bonferroni's *post hoc*, saline plus saline vs saline plus U50,488, p < 0.05) (Fig. 1c). Conversely, mice pretreated with citalopram and then administered U50,488 did not show a change in cocaine CPP (Bonferroni's post hoc test, saline plus U50,488 vs citalogram plus U50,488, p > 0.05), demonstrating that SSRI pretreatment blocked κ agonist-induced potentiation of cocaine CPP.

Repeated swim stress, nicotine withdrawal-induced stress, or pharmacological stress increases 5-HT uptake by SERT in a norBNI-dependent manner

To determine whether stress exposure modulates 5-HT uptake by SERT, RDEV was used to measure SERT function following the addition of 5-HT to the electrochemical well containing a suspension of mouse brain synaptosomes (Hagan et al., 2010). The rate of 100 nm 5-HT uptake by synaptosomes prepared

stress-induced potentiation of cocaine CPP (two-way ANOVA followed by Bonferroni's *post hoc* test; n=13-21). b, Preference test data demonstrating that p38 α MAPK in serotonergic neurons was required for stress-induced potentiation of cocaine CPP (two-way ANOVA followed by Bonferroni's *post hoc* test; n=14-20). c, Preference test data demonstrating that κ -mediated potentiation of cocaine CPP was blocked by pretreatment with the SSRI citalopram (two-way ANOVA followed by Bonferroni's *post hoc* test; n=10-20). Data are shown as mean \pm SEM. *p<0.05; **p<0.01; ***p<0.001. n.s., Not significant (p>0.05).

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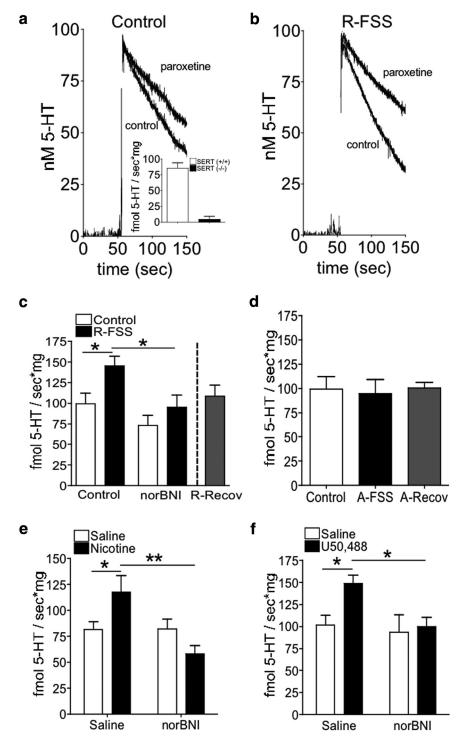


Figure 2. R-FSS, direct KOR activation, and withdrawal from repeated nicotine, but not A-FSS, increase the rate of 5-HT uptake by SERT in an norBNI-dependent manner. \boldsymbol{a} , Representative traces from control and paroxetine-treated synaptosomes prepared from control mice. Inset, 5-HT uptake by SERT was present in SERT (+/+) littermates, but absent in (-/-) mice, demonstrating isolation of SERT-specific uptake. \boldsymbol{b} , Representative traces from control and paroxetine-treated synaptosomes prepared from mice exposed to R-FSS. \boldsymbol{c} , RDEV data demonstrating that R-FSS increased the rate of 5-HT uptake by SERT that was blocked by pretreatment with norBNI and recovered 24 h post final stress (two-way ANOVA followed by Bonferroni's *post hoc* test; n=6–9). \boldsymbol{d} , RDEV data demonstrating that A-FSS did not increase the rate of 100 nm 5-HT uptake by SERT, and that 24 h incubation period was not required for a FSS effect. \boldsymbol{e} , RDEV data demonstrating that withdrawal from repeated nicotine increases the rate of 5-HT uptake by SERT and was blocked by pretreatment with norBNI (two-way ANOVA followed by Bonferroni's *post hoc* test; n=7–8). \boldsymbol{f} , RDEV data demonstrating that direct KOR activation by U50,488 increased 100 nm 5-HT uptake by SERT and was blocked by pretreatment with norBNI (two-way ANOVA followed by Bonferroni's *post hoc* test; n=7–8). n=7–80. n=7–

from C57BL/6 mice in the presence or absence of paroxetine was used to assess uptake mediated by SERT (Fig. 2). SERT (+/+) littermate mice showed paroxetinesensitive 5-HT uptake, whereas SERT (-/-)mice showed no significant paroxetinesensitive uptake (Fig. 2a, inset), confirming that SERT-specific uptake had been Whole-brain synaptosomes isolated. from wild-type mice exposed to R-FSS showed a significantly greater paroxetinesensitive 5-HT clearance rate than synaptosomes prepared from unstressed, control C57BL/6 mice, as represented in Figure 2, a and b, and quantified in Figure 2c (n = 6-9; two-way ANOVA, effect of pretreatment, $F_{(1,28)} = 9.077$, p < 0.005; effect of treatment, $F_{(1,28)} = 7.19$, p <0.012; followed by Bonferroni's post hoc, control vs R-FSS, p < 0.05) (Fig. 2c). The increase in SERT uptake rate caused by R-FSS was blocked by in vivo pretreatment with the KOR antagonist norBNI (10 mg/kg, i.p.) 24 h before the initial swim (Bonferroni's post hoc, R-FSS vs norBNI plus R-FSS, p < 0.05) and was not evident when synaptosomes were prepared 24 h following R-FSS (n =6–8; unpaired, two-tailed *t* test between control and R-Recovery; $t_{(12)} = 0.48$; p > 0.05) (Fig. 2c).

We showed previously that KOR activation is evident following exposure to A-FSS, but A-FSS is not sufficient to cause KOR-induced potentiation of cocaine CPP (Schindler et al., 2010). Similarly, in the current study, A-FSS exposure to one 15 min swim before synaptosomal preparation did not increase 100 nm 5-HT uptake rate by SERT compared to control mice (n = 6-8; one-way ANOVA; $F_{(2,19)} =$ 0.063; p > 0.05) (Fig. 2d). In this paradigm, R-FSS exposure occurred over 2 d. Thus, it is possible that A-FSS exposure might cause an increase in 5-HT uptake by SERT if a 24 h incubation period passed between A-FSS exposure and synaptosomal isolation. To investigate this possibility, mice were exposed to A-FSS, and then synaptosomes were prepared 24 h later (A-Recovery) (Fig. 2d). Synaptosomes from mice exposed to A-Recovery did not show a significantly different 100 nm 5-HT uptake rate from control mice $(n = 6-8; one-way ANOVA; F_{(2,19)} =$ 0.063; p > 0.05). Together, these results suggest that repeated KOR activation by R-FSS caused an increase in 100 nm 5-HT uptake by SERT that recovered 24 h after the final swim.

To assess the generality of the stressinduced changes in SERT function, we next examined changes in SERT function during withdrawal from repeated nicotine exposure, which can be considered aversive (Kenny and Markou, 2001; Koob and Le Moal, 2005), and κ antagonists attenuated the expression of both the physical (somatic signs and hyperalgesia) and affective (anxiety-related behavior and conditioned place aversion) nicotine withdrawal signs (Jackson et al., 2010). Synaptosomes prepared from mice in withdrawal 48 h following repeated exposure to nicotine (20 mg/kg/d, s.c, for 6 d), showed a significantly increased rate of 100 nm 5-HT uptake by SERT compared to synaptosomes prepared from mice administered saline (10 ml/kg of body weight, i.p.) on the same schedule (n = 7-8; two-way ANOVA, interaction of norBNI and nicotine, $F_{(1,27)} = 8.712$, p <0.007; followed by Bonferroni's post hoc, saline vs nicotine, p <0.05) (Fig. 2e). The increase in SERT uptake rate evident during nicotine withdrawal was blocked by pretreatment with the KOR antagonist norBNI (10 mg/kg, i.p.) 1 h before the start of the nicotine treatment paradigm (Bonferroni's post hoc, nicotine vs norBNI plus nicotine, p < 0.001), and norBNI pretreatment alone had no effect on 100 nm 5-HT uptake by SERT (Bonferroni's post hoc, saline vs norBNI plus saline, p > 0.05).

Administration of the KOR agonist U50,488 was used as a pharmacological stressor and provides a more selective KOR activation than FSS exposure or withdrawal from nicotine. Synapsotomes prepared from mice administered U50,488 (5 mg/kg, i.p.) 60 min before synaptosome generation showed a significantly increased rate of 100 nm 5-HT uptake by SERT compared to synaptosomes prepared from mice administered saline (10 ml/kg of body weight, i.p.) 60 min before synaptosome isolation (n = 9-11; two-way ANOVA; effect of pretreatment, $F_{(1,35)} =$ 4.234, p < 0.047; effect of treatment, $F_{(1,35)} = 4.825$, p < 0.035; followed by Bonferroni's post hoc, saline vs U50,488, p < 0.05) (Fig. 2f). This dose and timing were used based on behavioral studies in which U50,488 administration significantly potentiated cocaine or nicotine CPP when given 60 min before final preference testing (Schindler et al., 2010; Smith et al., 2012). The increase in SERT uptake rate by U50,488 was blocked by pretreatment with the KOR antagonist norBNI (10 mg/kg, i.p.) 24 h before U50,488 administration (Bonferroni's post hoc, U50,488 vs norBNI plus U50,488, p < 0.05), and norBNI pretreatment alone had no effect on 100 nm 5-HT uptake by SERT (Bonferroni's *post hoc*, saline vs norBNI plus saline, p > 0.05).

Repeated swim stress increases SERT $V_{\rm max}$ without affecting SERT $K_{\rm m}$

An increase in 5-HT uptake by SERT following R-FSS exposure might result from a stress-induced increase in SERT Vmax (increased SERT synthesis or increased SERT expression at the plasma membrane) or a decrease in SERT $K_{\rm m}$ (increased catalytic activity of SERT). To obtain values for V_{max} and K_{m} , saturation kinetic analyses of 5-HT uptake by SERT were conducted with synaptosomes prepared from control or R-FSS-exposed C57BL/6 mice. R-FSS significantly increased the rate of 5-HT uptake by SERT at 100 nm (n = 6-10; two-way ANOVA; interaction of concentration and R-FSS, $F_{(5,74)} = 2.35$, p < 0.049; followed by Bonferroni's post hoc, control vs R-FSS, p < 0.05), 300 nm (Bonferroni's post hoc, control vs R-FSS, p < 0.05), and 1 μ M (Bonferroni's post hoc, control vs R-FSS, p < 0.001) 5-HT, but not at 10 or 30 nm (Bonferroni's post hoc, control vs R-FSS, p > 0.05) 5-HT, compared to the rate of 5-HT uptake by SERT from control synaptosomes at the corresponding 5-HT concentration (Fig. 3a). R-FSS significantly increased SERT V_{max} for 5-HT (n =6-10; nonlinear regression followed by comparison of fits; $F_{(1.82)} = 4.91$, p < 0.029) without affecting SERT Km for 5-HT

(n = 6-10; nonlinear regression followed by comparison of fits; $F_{(1,82)} = 0.86$, p > 0.05) (Fig. 3a, inset).

Repeated swim stress does not increase DA uptake by DAT or 5-HT or DA uptake by low-affinity, high-capacity transporters

To obtain $V_{\rm max}$ and $K_{\rm m}$ values for DAT (not established previously for this animal species, cellular preparation, or technique), saturation kinetic analyses of DA uptake by DAT were conducted. Synaptosomes prepared from mice exposed to R-FSS did not show a significantly different rate of DA uptake by DAT at any DA concentration tested (n=6-11; two-way ANOVA; interaction of concentration and R-FSS; $F_{(5,75)}=1.240$, p>0.05), compared to synaptosomes prepared from control mice (Fig. 3b). Similarly, R-FSS did not significantly change $V_{\rm max}$ (n=6-11; nonlinear regression followed by comparison of fits; $F_{(1,83)}=0.359$, p>0.05) or $K_{\rm m}$ for DAT (n=6-11; nonlinear regression followed by comparison of fits; $F_{(1,83)}=0.009$, p>0.05) (Fig. 3b, inset), suggesting that R-FSS did not modulate DA uptake by DAT under these assay conditions.

In addition to uptake by their respective transporters, both 5-HT and DA can be cleared by low-affinity, high-capacity transporters (Daws, 2009; Hagan et al., 2011). The contribution to uptake of these low-affinity, high-capacity transporters increases as 5-HT concentration increases (Baganz et al., 2010; Hagan et al., 2011). To investigate whether stress-induced KOR activation regulates these alternative uptake mechanisms, we examined the uptake rate of 5-HT or DA in the presence of DAT, NET, and SERT inhibitors following repeated stress (Fig. 3c,d). Synaptosomes prepared from mice exposed to R-FSS did not show a significantly different rate of 5-HT uptake by low-affinity, highcapacity transporters at any concentration of 5-HT tested (n =6–9; two-way ANOVA; interaction of concentration and R-FSS; $F_{(5,74)} = 0.717$, p > 0.05), compared to synaptosomes prepared from control mice (Fig. 3c). Similarly, no effect of R-FSS was seen at any concentration of DA tested compared to synaptosomes prepared from control mice (n = 6-11; two-way ANOVA; interaction of concentration and R-FSS; $F_{(5,73)} = 0.259$, p > 0.05) (Fig. 3d). 5-HT at 1 μ M and DA at 3 μ M are still below saturating concentrations for these low-affinity, high-capacity transporters (Fig. 3c,d), and it is therefore possible that R-FSS has effects at concentrations higher then those used in the current study; however, these results suggest that KOR activation by repeated stress selectively increased SERT function without affecting DAT or the low-affinity, high-capacity transporters.

Repeated swim stress increases SERT surface expression

An increase in SERT Vmax could arise from an increase in SERT surface expression or an increase in SERT synthesis. To determine whether R-FSS increased SERT surface expression, purified synaptosomes from control, R-FSS, norBNI, norBNI plus R-FSS, and R-Recovery-exposed mice were biotinylated to selectively label cell surface proteins. As a control for the specificity of cell-surface labeling by the cell impermeant form of biotin, surface, intracellular, and total samples were extracted with Laemmli's buffer and processed for Western blotting with the calnexin antibody. Calnexin is an integral protein of the endoplasmic reticulum and thus should not be available for labeling by biotin (Samuvel et al., 2005). As shown in Figure 4a, calnexin immunoreactivity is seen in the nonbiotinylated fraction and in the total protein samples, but was absent in the surface samples, confirming that the majority of synaptosomes in each preparation were

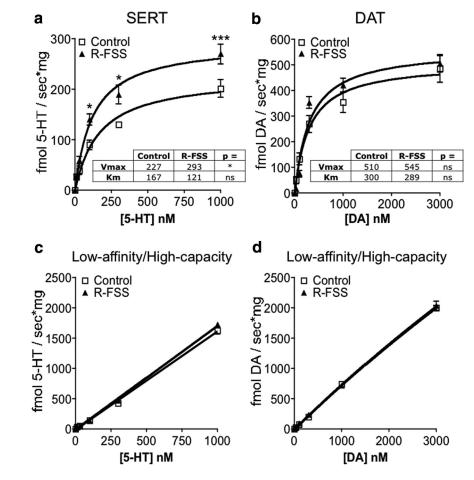


Figure 3. R-FSS increases SERT $V_{\rm max}$ without affecting SERT $K_{\rm m}$ and does not modulate DAT or low-affinity, high-capacity transporters. ${\bf a}$, Kinetic RDEV data demonstrating that R-FSS exposure increased SERT $V_{\rm max}$ but did not change SERT $K_{\rm m}$ (two-way ANOVA followed by Bonferroni's post hoc; n=6-10). ${\bf b}$, Kinetic RDEV data demonstrating that R-FSS exposure did not change the rate of DA uptake by DAT at any concentration tested (two-way ANOVA; n=6-11). ${\bf c}$, Kinetic RDEV data demonstrating that R-FSS did not modulate 5-HT uptake by low-affinity, high-capacity transporters at any of the concentrations tested (two-way ANOVA; n=6-9). ${\bf d}$, Kinetic RDEV data demonstrating that R-FSS did not modulate DA uptake by low-affinity, high-capacity transporters at any of the concentrations tested (two-way ANOVA; n=6-11). Data are shown as mean \pm SEM. *p<0.05; ****p<0.001.

intact and biotin labeling of intracellular proteins did not occur under these assay conditions.

To determine whether R-FSS increased SERT surface expression, surface proteins were extracted from intact synaptosomes with Laemmli's buffer and processed for Western blotting with the SERT antibody. We found that R-FSS increased surface SERT immunoreactivity compared to unstressed controls (n=6-8; two-way ANOVA; effect of pretreatment, $F_{(1,24)}=7.284$, p<0.013; effect of treatment, $F_{(1,24)}=10.02$, p<0.004; followed by Bonferroni's *post hoc*, control vs R-FSS, p<0.01) (Fig. 4b). The increase in SERT surface expression by R-FSS was blocked by pretreatment with the KOR antagonist norBNI (10 mg/kg, i.p.) 24 h before the initial swim (Bonferroni's *post hoc*, R-FSS vs norBNI plus R-FSS, p<0.05) and was not evident when synaptosomes were prepared 24 h after R-FSS (n=6-8; unpaired, two-tailed t test between control R-Recovery; $t_{(12)}=0.339$; p>0.05) (Fig. 4b).

To determine whether R-FSS increased total SERT expression, proteins were extracted from intact synaptosomes with Laemmli's buffer and processed for Western blotting with the SERT antibody. We found no change in total SERT immunoreactivity following treatment (n=6; two-way ANOVA; interaction of norBNI and R-FSS; $F_{(1,24)}=0.528$, p>0.05) (Fig. 4c), suggesting

that the increase in SERT Vmax following R-FSS was not a result of increased SERT synthesis. Together, these data suggest that R-FSS increases SERT Vmax for 5-HT by increasing SERT plasma membrane surface expression.

GRK3 and p38α MAPK are required for R-FSS-induced increases in SERT function and surface expression

Activation of p38 MAPK has been shown previously to regulate SERT function and surface membrane expression (Zhu et al., 2004, 2005; Samuvel et al., 2005). In addition, KOR activation of p38 MAPK was shown previously to occur by a GRK3arrestin-dependent mechanism in vivo and in vitro (Bruchas et al., 2006). To assess the role of GRK3 in KOR-mediated SERT regulation, GRK3 (-/-) and (+/+) littermates were exposed to R-FSS or remained in the home cage before synaptosomal generation. R-FSS significantly increased the rate of 100 nm 5-HT uptake by SERT in GRK3 (+/+) littermate controls [n = 6-8;two-way ANOVA; interaction of genotype and R-FSS, $F_{(1,24)}=16.82$, p<0.0004; followed by Bonferroni's post hoc, GRK3 (+/+) control vs GRK3 (+/+) R-FSS, p <[0.001] (Fig. 5a). Alternatively, GRK3 (-/-)mice exposed to R-FSS did not show an increase in SERT uptake rates as compared to GRK3 (-/-) control mice [Bonferroni's post hoc, GRK3 (-/-) control vs GRK3 (-/-) R-FSS, p > 0.05] (Fig. 5a).

These data suggest that GRK3 was required for KOR-induced increases in 5-HT uptake by SERT and raise the possibility that this effect may be mediated by KOR activation of p38 MAPK. To test this

KOR activation of p38 MAPK. To test this hypothesis, $p38\alpha^{\Delta/lox}$ and $p38\alpha CKO^{ePet}$ mice were exposed to R-FSS or remained in their home cage before synaptosomal generation. R-FSS increased the rate of 100 nm 5-HT uptake by SERT in $p38\alpha^{\Delta/lox}$ mice (n=6-8; two-way ANOVA; interaction of genotype and R-FSS, $F_{(1,24)}=6.073$, p<0.021; followed by Bonferroni's $post\ hoc$, $p38\alpha^{\Delta/lox}$ control vs $p38\alpha^{\Delta/lox}$ R-FSS, p<0.01) compared to control mice of the same genotype (Fig. 5b). R-FSS had no effect on the rate of 100 nm 5-HT uptake by SERT in $p38\alpha CKO^{ePet}$ mice (Bonferroni's $post\ hoc$, $p38\alpha CKO^{ePet}$ control vs $p38\alpha CKO^{ePet}$ R-FSS, p>0.05) (Fig. 5b), demonstrating that $p38\alpha$ was required for R-FSS effects on SERT function.

We next investigated whether GRK3 was required for R-FSS-induced increase in SERT surface expression. We found that R-FSS increased surface SERT immunoreactivity compared to controls in the GRK3 (+/+) genotype [n=4; two-way ANOVA; interaction of genotype and R-FSS, $F_{(1,12)}=7.267$, p<0.02; followed by Bonferroni's post hoc, GRK3 (+/+) control vs GRK3 (+/+) R-FSS, p<0.01] (Fig. 5c). The increase in SERT surface expression by R-FSS was not seen in the GRK3 (-/-) genotype [Bonferroni's post hoc, GRK3 (-/-) control vs GRK3 (-/-) R-FSS, p>0.05] (Fig. 5c), suggesting that GRK3 was required for stress-induced increase in surface SERT expression.

We used a similar strategy to determine whether p38 MAPK in serotonergic neurons was required for R-FSS-induced increase in SERT surface expression by testing $p38\alpha^{\Delta/lox}$ and $p38\alpha CKO^{ePet}$ mice. We found that R-FSS increased surface SERT immunoreactivity compared to controls in the $p38\alpha^{\Delta/lox}$ genotype (n = 5-8; two-way ANOVA; interaction of genotype and R-FSS, $F_{(1,22)} = 4.604$, p <0.043; followed by Bonferroni's post hoc, $p38\alpha^{\Delta/lox}$ control vs $p38\alpha^{\Delta/lox}$ R-FSS, p <0.01) (Fig. 5d). The increase in SERT surface expression by R-FSS was not seen in the $p38\alpha CKO^{ePet}$ genotype (Bonferroni's post hoc, p38αCKO^{ePet} control vs $p38\alpha CKO^{ePet}$ R-FSS, p > 0.05) (Fig. 5d), demonstrating that p38α MAPK in serotonergic neurons was required for R-FSS effects on SERT surface expression.

To assess whether GRK3 or p38 α MAPK expression changes total SERT levels, total protein was extracted with Laemmli's buffer and processed for Western blotting with the SERT antibody. As for WT mice, we found no change in total SERT immunoreactivity across GRK3 genotypes or following R-FSS (n = 4; twoway ANOVA; interaction of genotype and R-FSS, $F_{(1,12)} = 2.676, p > 0.05$) (Fig. 5*e*). Similarly, we found no change in total SERT immunoreactivity across p38 α genotypes or following R-FSS (n = 6-8; two-way ANOVA; interaction of genotype and R-FSS, $F_{(1,18)} = 0.853, p > 0.05$) (Fig. 5f). Together, these data suggest that

the increase in SERT function and surface expression following R-FSS was mediated by GRK3 and p38 α MAPK in serotonergic neurons.

Repeated swim stress selectively increases SERT surface expression in the ventral striatum

SERT expression is evident in multiple brain regions (Torres et al., 2003), and the previous experiments in the present study were conducted using whole-brain synaptosomes. To determine whether R-FSS affected SERT in all of the serotonergic projections equivalently, we compared synaptosomes prepared from grossly dissected brain regions. Surprisingly, we found that R-FSS significantly increased surface SERT immunoreactivity compared to controls only in the ventral striatum (VS; n = 6; unpaired, two-tailed *t* test; $t_{(10)} = 2.86$, p < 0.017) (Fig. 6*a*, red box). R-FSS did not change surface SERT immunoreactivity compared to controls in the medial prefrontal cortex (mPFC; n = 4; unpaired, two-tailed t test; $t_{(6)} = 0.171$, p > 0.05), hippocampus $(n = 6; unpaired, two-tailed t test; t_{(10)} = 0.312, p > 0.05), dorsal$ striatum (DS; n = 6; unpaired, two-tailed t test; $t_{(10)} = 0.773, p >$ 0.05), amygdala (n = 4; unpaired, two-tailed t test; $t_{(6)} = 0.372$, p > 0.05), or dorsal raphe (n = 4; unpaired, two-tailed t test; $t_{(6)} = 0.776$, p > 0.05) (Fig. 6a). Similar to whole-brain synaptosomes, we found no change in total SERT immunoreactivity across the brain regions examined: mPFC (n = 6; unpaired, two-tailed ttest; $t_{(10)} = 0.294$, p > 0.05), hippocampus (n = 6; unpaired, two-tailed t test; $t_{(10)} = 1.487$, p > 0.05), DS (n = 6; unpaired,

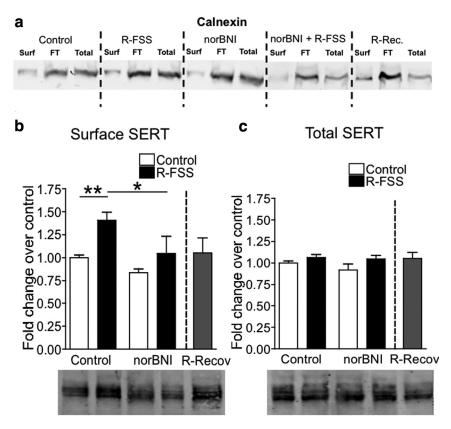


Figure 4. R-FSS increases SERT $V_{\rm max}$ by increasing SERT surface expression. a, Representative Western blot images demonstrating biotinylation of only surface proteins. Calnexin is an intracellular protein and thus should not appear in the surface samples. b, Representative Western blot images and corresponding analysis showing that R-FSS increased SERT surface expression in a norBNI-dependent manner that recovered 24 h after swim (two-way ANOVA followed by Bonferroni's post hoc; n=6-8). c, Representative Western blot images and corresponding analysis showing that the R-FSS did not change total SERT expression. Data are shown as mean \pm SEM. *p < 0.05; **p < 0.01. Surf, Surface; FT, flow through (intracellular sample); total, total protein (both surface and intracellular).

two-tailed t test; $t_{(10)} = 0.073$, p > 0.05), VS (n = 6; unpaired, two-tailed t test; $t_{(10)} = 1.573$, p > 0.05), amygdala (n = 3-4; unpaired, two-tailed, t test; $t_{(5)} = 0.886$, p > 0.05), and DR (n = 4; unpaired, two-tailed t test; $t_{(6)} = 1.053$, p > 0.05) (Fig. 6t). Together, these data demonstrate that R-FSS significantly increased surface SERT expression specifically in the ventral striatum without changing total SERT levels or affecting cell surface SERT in the other brain regions tested.

Repeated swim stress increases surface SERT expression in the ventral striatum through activation of local κ -opioid receptors

KORs are expressed on the cell bodies of serotonergic DR neurons and on the afferent nerve terminals projecting to the VS (Tao and Auerbach, 2002; Land et al., 2009). Thus it is possible that KOR activation in the DR or VS could mediate the increase in surface SERT seen following R-FSS. To determine which pool of KORs mediate this effect, we stereotaxically injected the long-lasting KOR antagonist norBNI into the DR or VS. NorBNI injected into the DR did not significantly affect the increased surface SERT immunoreactivity in the VS caused by R-FSS (n=3–5; two-way ANOVA; interaction of brain region and R-FSS, $F_{(1,12)}=13.50$, p<0.003; followed by Bonferroni's post hoc, norBNI DR control vs norBNI DR R-FSS, p<0.01) (Fig. 7a). In contrast, norBNI injection in the nucleus accumbens completely blocked the stress-induced increase in SERT surface expression in the VS (Bonferroni's post hoc, norBNI VS control vs norBNI VS

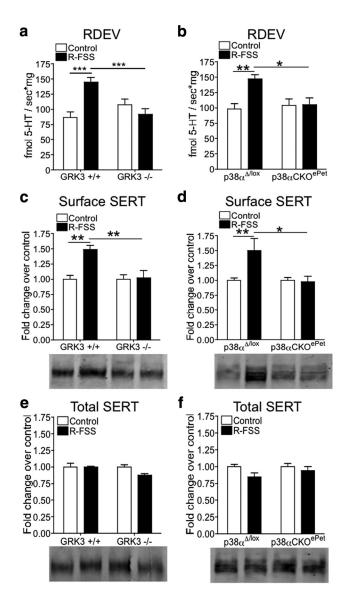


Figure 5. R-FSS-induced increases in 5-HT uptake by SERT and SERT surface expression require GRK3 and p38a MAPK in serotonergic neurons. \boldsymbol{a} , RDEV data demonstrating that the R-FSS-induced increase in the rate of 5-HT uptake by SERT required GRK3 (two-way ANOVA followed by Bonferroni's post hoc test; n=6-8). \boldsymbol{b} , RDEV data demonstrating that R-FSS increased the rate of 5-HT uptake by SERT in $p38\alpha^{\Delta\Lambda\sigma\sigma}$ but not in $p38\alpha CKO^{ePet}$ mice (two-way ANOVA followed by Bonferroni's post hoc test; n=6-8). \boldsymbol{c} , Representative Western blot images and corresponding analysis showing that R-FSS increased SERT surface expression in a GRK3-dependent manner (two-way ANOVA followed by Bonferroni's post hoc test; n=4). \boldsymbol{d} , Representative Western blot images and corresponding analysis showing that R-FSS increased SERT surface expression in a p38 α MAPK-dependent manner (two-way ANOVA followed by Bonferroni's post hoc test; n=5-8). \boldsymbol{e} , Representative Western blot images and corresponding analysis showing that the GRK3 genotype or R-FSS did not change total SERT expression (two-way ANOVA; n=4). \boldsymbol{f} , Representative Western blot images and corresponding analysis showing that the p38 α MAPK genotype or R-FSS did not change total SERT expression (two-way ANOVA; n=4-8). Data are shown as mean \pm SEM. *p<0.05; **p<0.01; ***p<0.01; ***p<0.001.

R-FSS, p > 0.05) (Fig. 7a). No change in total SERT immunore-activity was evident (n = 3–5; two-way ANOVA; interaction of brain region and R-FSS, $F_{(1,12)} = 0.477, p > 0.05$) (Fig. 7b). These results suggest that local KOR in the VS mediate the stress-induced increase in surface SERT expression.

Stress exposure results in the release of CRF, leading to the release of endogenous dynorphin and subsequent activation of KOR (Land et al., 2008). KOR activation leads to the phosphor-

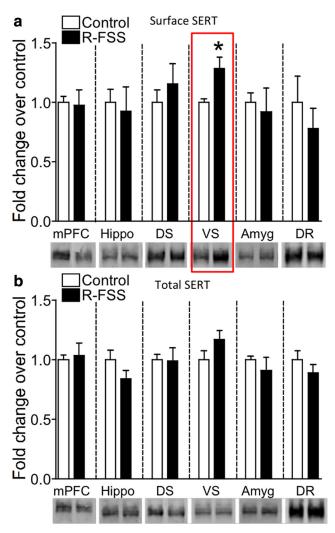


Figure 6. SERT surface expression in selectively increased in the ventral striatum following R-FSS. **a**, Representative Western blot images and corresponding analysis showing that R-FSS increased SERT surface expression only in the ventral striatum (unpaired, two-tailed t test; n=4-6). **b**, Representative Western blot images and corresponding analysis showing that R-FSS did not change total SERT expression in any of the brain regions investigated (two-way ANOVA; n=3-6). Data are shown as mean \pm SEM. *p<0.05. Hippo, Hippocampus, Amyg, amygdala.

ylation of serine-369 on its C-terminal tail by GRK3 (McLaughlin et al., 2003b). To visualize activated KOR using immunohistochemistry, an antibody that recognizes phosphorylated serine-369 was developed (McLaughlin et al., 2003b). To investigate whether CRF causes dynorphin release in the NAc, wild-type and KOR (-/-) were injected intracerebroventricularly with either saline or CRF (1 μ g/5 μ l). Immunohistochemical analysis showed that CRF caused an increase in KORp immunoreactivity within the NAc that was absent in KOR (-/-), suggesting that stress leads to the release of dynorphin and activation of KOR within the NAc (Fig. 7c).

KOR-mediated CPA requires SERT

These results suggested that stress-induced release of dynorphin within the nucleus accumbens activates p38 α MAPK in the serotonergic projections from the dorsal raphe nucleus and that the local translocation of SERT from an endosomal vesicle to the plasma membrane mediates the stress response. Consistent with this concept, we found that SERT (+/-) and (-/-) mice did not

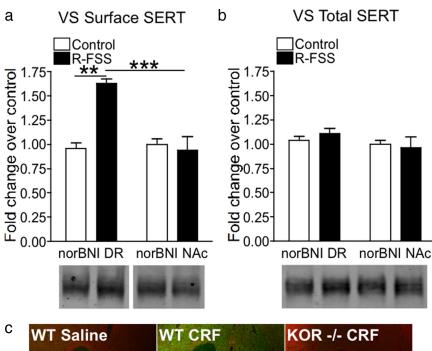
show U50,488 CPA, whereas wild-type SERT (+/+) littermates showed robust CPA [n = 7-12; one-way ANOVA; $F_{(2,27)}$ = 4.023, p < 0.031; followed by Bonferroni's post hoc, SERT (+/+) vs (+/-), p < 0.05; SERT (+/+) vs (-/-), p <0.05], demonstrating that SERT was required for κ -mediated aversion (Fig. 8b). We next generated a bicistronic lentiviral vector (Maskos et al., 2005; Land et al., 2009) to express hSERT-GFP under the PGK promoter (lenti-SERT) in SERT (-/-) mice (Fig. 8a). To assess whether KOR regulation of SERT was sufficient for U50,488 CPA, we stereotaxically injected lenti-SERT into the DR of SERT (-/-)mice. We had demonstrated previously that lentiviral-mediated gene transfer did not nonspecifically affect this behavior (Land et al., 2009). Lenti-SERT-injected mice showed a significant recovery of κ -mediated CPA (n = 4-7; unpaired, two-tailed *t* test; $t_{(9)} = 2.857$, p < 0.019). To confirm that SERT expression driven by the lenti-SERT vector restored the normal translocation response, we generated whole-brain synaptosomes and found that SERT surface expression was increased following R-FSS (Fig. 8c). These data further support the suggested involvement of serotonergic projections from the DR to the VS in κ-mediated CPA.

Discussion

The present study elucidates a novel signal transduction cascade within the afferent nerve terminals projecting from the dorsal raphe to the ventral striatum that is activated by the endogenous dynorphin opioids following repeated stress exposure and underlies prodepressive and proaddictive behaviors in mice. Although both SERT and KOR regulation have been strongly implicated in the aversive effects of stress exposure, the present study describes a molecular link for these two mediators and identifies the brain region involved. Together these data suggest that a component of KOR-mediated stress behaviors results from modulation of sero-

tonergic tone within the ventral striatum and requires both GRK3 and p38 α MAPK activation.

Although the FSS assay is a well-established behavioral stress model, and withdrawal from drugs of abuse including cocaine or nicotine is stressful (Parsons et al., 1995; Turchan et al., 1998; Kenny and Markou, 2001; Awtry and Werling, 2003; Koob and Le Moal, 2005; Jackson et al., 2010), stress-induced regulation of SERT and a possible role for KOR have not been established. Numerous studies have examined the effects of various stressors on 5-HT turnover (an indirect measure of serotonergic activity), 5-HT release using microdialysis, [3H]-5-HT uptake in brain tissue, and [3H]-paroxetine binding to SERT in multiple rodent



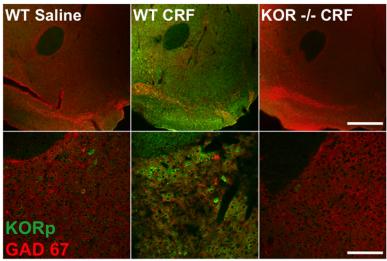


Figure 7. Local KORs mediate increases SERT surface expression in the ventral striatum following R-FSS. *a*, Representative Western blot images and corresponding analysis showing that R-FSS increased SERT surface expression in the ventral striatum when norBNI was microinjected into the dorsal raphe but not into the nucleus accumbens (two-way ANOVA followed by Bonferroni's *post hoc* test; n=3-5). *b*, Representative Western blot images and corresponding analysis showing that norBNI microinjection into the dorsal raphe or nucleus accumbens or R-FSS did not change total SERT expression in the ventral striatum (two-way ANOVA; n=3-5). *c*, Low power (10×) and high power (40×) representative fluorescent images displaying KORp immunoreactivity (green) and GAD67 (red) in the nucleus accumbens of animals treated with 0.9% saline or CRF (1 μ g/5 μ l). CRF-induced dynorphin release increased KORp immunoreactivity in WTs compared to saline controls. CRF-induced KORp immunoreactivity was specific, as it is absent in animals lacking KOR. Data are shown as mean \pm SEM. ***p < 0.01 comparing norBNI DR control with norBNI DR R-FSS; *****p < 0.003 comparing norBNI DR R-FSS with norBNI NAC R-FSS. Scale bars: *c*, top, 400 μ m; bottom, 100 μ m.

species and strains with conflicting results (Watanabe et al., 1993; Kirby et al., 1995; Adell et al., 1997; Berton et al., 1999; Connor et al., 1999a,b; Martin et al., 2000; El Yacoubi et al., 2003; Racca et al., 2005; Lee et al., 2007). Importantly, no previous studies have identified a role for κ -mediated regulation of SERT in swim stress or withdrawal from repeated nicotine, or used the FSS paradigm that we have shown previously can cause an norBNI-sensitive increase in immobility, odorant-swim stress aversion, and potentiation of cocaine CPP and of nicotine CPP.

Using the sensitive RDEV method for quantifying neurotransmitter uptake kinetics (Hagan et al., 2010), we found that various potent stressors increased the rate of 5-HT uptake by

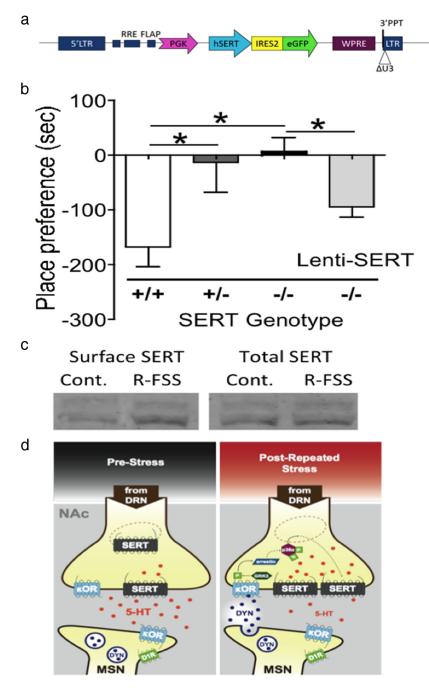


Figure 8. SERT is required for κ-mediated conditioned place aversion. **a**, Schematic of lentiviral construct for expression of SERT-GFP. LTR, Long terminal repeat; RRE, Rev response element; FLAP, 99 bp DNA "flap" (enhances infection of nondividing cells); PGK, human PGK promoter; WPRE, woodchuck hepatitis B virus posttranscriptional regulatory element (enhances RNA stability and transgene expression); Δ U3, deletion of U3 region of 3' long terminal repeat (renders integrated virus replication incompetent). **b**, Preference test data demonstrating that SERT is required for conditioned place aversion to the KOR agonist U50,488 (one-way ANOVA followed by Bonferroni's *post hoc* test; n=7-12). **c**, Representative Western blot images showing that R-FSS increased SERT surface expression when lenti-SERT was expressed in SERT (-/-) mice. **d**, Diagram depiction modeling the proposed mechanism by which stress-induced KOR and p38 α MAPK activation cause increase in surface SERT expression within the ventral striatum and a subsequent decrease in extracellular serotonin levels. *p < 0.05 for the comparisons marked.

SERT in an norBNI-dependent manner. SERT $K_{\rm m}$ and $V_{\rm max}$ can also be modulated by other GPCRs such as the adenosine receptor (AR), the serotonin 1B receptor (5-HT_{1B}), and the $\alpha 2$ adrenergic receptor, in addition to PKC, PKG, and p38 MAPK (Steiner et al., 2008; Ramamoorthy et al., 2011). Similar to the reported effects of AR agonist treatment and PKG activation, we found

that R-FSS increased SERT $V_{\rm max}$ without affecting $K_{\rm m}$, suggesting that repeated stress increases surface SERT expression. Interestingly, pharmacological stimulation of AR3 increased SERT surface expression by an increase in SERT exocytosis and not a decrease in endocytosis of existing surface SERT (Zhu et al., 2004). Parallel experiments will be required to determine whether stress-induced increase in surface SERT expression mediated by KOR activation was due to increased exocytosis, however, the current results suggest that p38 MAPK may phosphorylate an undefined substrate in the vesicle fusion machinery to stimulate SERT translocation. Previously published results have shown that DAT can be modulated by stress exposure and by numerous GPCRs and kinases (Ramamoorthy et al., 2011); however, in the present study, we found that R-FSS did not significantly affect DAT. Nevertheless, a role for KOR regulation of dopamine in aversion is not excluded by the current

Although an acute 15 min FSS exposure causes dynorphin release and subsequent KOR activation (as demonstrated by norBNI-sensitive stress-induced analgesia), acute KOR activation was not sufficient to cause an norBNI-sensitive increase in immobility, potentiation of cocaine CPP, or p38 MAPK activation (McLaughlin et al., 2003a; Bruchas et al., 2007a; Schindler et al., 2010). Sustained KOR activation was required for GRK3 and p38 activation, and both were required for KOR-mediated immobility and CPA (Bruchas et al., 2007a). Additionally, p38α MAPK in serotonergic neurons has been shown to mediate aversive stress responses (Bruchas et al., 2011), and in the current study we integrate these previous results to demonstrate that stress-induced potentiation of cocaine CPP and increases in SERT surface expression in the nerve terminals of the serotonergic neurons are also GRK3 and p38α MAPK dependent.

Stress-induced potentiation of the rewarding effects of other drugs of abuse including nicotine (Smith et al., 2012) and ethanol (Sperling et al., 2010) are possibly mediated by similar mechanisms, but further studies will be required to determine whether the actions of other psychostimulants, including amphetamine, also

require KOR-mediated effects on SERT function. Together, these data suggest that the prodepressive and proaddictive effects of stress share common signaling mechanisms. The idea that stress exposure results in a dysphoric state that leads to potentiation of drug seeking and drug reinstatement was suggested previously (Koob, 2008; Bruchas et al., 2010; Schindler et al., 2010), and the

present study supports the concept that these behavioral responses may be a consequence of transient hyposerotonergic tone in the nucleus accumbens. While dysphoria (like pain) is an internal emotional state that cannot be directly or objectively quantified, humans taking κ -selective agonists report dysphoria (Pfeiffer et al., 1986), and dysphoria can result in behavioral aversion, which can be objectively measured.

R-FSS increases dynorphin release (Shirayama et al., 2004) and KOR activation within the NAc (Land et al., 2008). cAMP response element-binding protein (CREB) within the NAc is increased in response to stress and positively regulates dynorphin gene expression in that brain region (Carlezon et al., 1998; Pliakas et al., 2001). Overexpression of CREB within the NAc produces prodepressive effects, and this is blocked by the KOR antagonist norBNI, suggesting that CREB-induced expression of dynorphin mediates the prodepressive effects observed in the present study (Carlezon et al., 1998; Pliakas et al., 2001). Ultrastructural localization studies demonstrated that dynorphin is in GABAergic D1 type medium spiny neurons, and dynorphin-expressing terminals appose KOR-containing terminals within the NAc (Svingos et al., 1999; Ma et al., 2003; Hara et al., 2006). Thus, local release of dynorphin from MSNs may activate KORs expressed on DR afferents to the NAc, leading to increased serotonin uptake and a hyopserotonergic tone.

Both increases and decreases in serotonergic tone within the NAc have been previously linked to stress responses and may underlie several neuropsychiatric diseases. Olfactory bulbectomized rats, a model of depression, are hyposerotonergic in the NAc (Connor et al., 1999a), and Wistar-Kyoto rats, hyperresponsive to stress, demonstrate decreased serotonergic responsivity in the NAc (De La Garza and Mahoney, 2004). Additionally, infusion of the SSRI fluoxetine into the NAc decreased immobility and increased active coping mechanisms in the forced swim test (Chau et al., 2011), and infusion of the SSRI sertraline into the NAc abolished the cocaine-withdrawalinduced increase in cocaine CPP (Harris et al., 2001). Depletion of NAc serotonin by 5,7-dihydroxytryptamine increased the incentive value of cocaine as assessed by breakpoint (Loh and Roberts, 1990), increased morphine self-administration (Smith et al., 1987), and increased responding for a conditioned reward (Fletcher et al., 1999). Conversely, systemic blockade of 5-HT(2C) receptors inhibit nicotine self-administration (Fletcher et al., 2012). Air puff, a mild stressor, increased the 5HT metabolite 5-hydroxindole-3-acetic acid within the NAc (Merali et al., 1997). Thirty minutes of forced swim stress caused a prolonged elevation in NAc serotonin levels as measured by microdialysis (Kirby et al., 1995), but one 15 min swim followed 24 h later by one 5 min swim resulted in no change to NAc serotonin levels (Kirby and Lucki, 1997). Systemic salvinornin A, a KOR agonist, decreased NAc extracellular levels of DA but not 5-HT in rats (Carlezon et al., 2006), but not in mice (Zhang et al., 2005). The mixed results are potentially due to differences in measurement technique used, species/strain differences, and, most importantly, the type and timing of the stress exposure.

Extracellular serotonin levels are thought to regulate mood (Coppen and Doogan, 1988; Haenisch and Bonisch, 2011), and increased SERT function has been shown to increase behavioral despair in mice (Zhu et al., 2010). Here we demonstrated that KOR-mediated aversion is absent in SERT knock-out mice, demonstrating an integral role for SERT in this behavior. Additionally, 5-HT depletion has been shown to increase cocaine seeking (Walsh and Cunningham, 1997). Kappa-mediated aversion was blocked by pretreatment with the SERT inhibitor citalopram

(Bruchas et al., 2011), footshock-induced reinstatement of alcohol self-administration was blocked by pretreatment with the SERT inhibitor fluoxetine (Lê et al., 1999), and in the present study we demonstrate that κ -mediated potentiation of cocaine CPP was also blocked by pretreatment with citalopram, supporting the hypothesis that stress- and κ -mediated effects occur at least in part through regulation of the serotonergic system. Additional studies are required to elucidate the downstream effects of a stress-induced hyposerotonergic state in the ventral striatum. Additional work is also required to identify which of the serotonin receptor(s) are involved, whether they are located presynaptically or postsynaptically, and how they locally affect NAc signal processing.

In conclusion, the present study demonstrates that repeated but not acute swim stress increases SERT function and ventral striatum surface expression through a KOR- and p38 α MAPK-dependent manner, and implicates this molecular process in stress-induced behaviors such as immobility, aversion, and addiction risk. Additionally, this study raises the possibility that KOR antagonists may promote stress resilience, and further implicates the κ receptor in the negative aspects of the stress response.

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